

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Sally Pucilowski

Serial No. : 10/036,486 /

Filed : 7 January 2002

For : INTERFERENCE FILTER HAVING A GLASS SUBSTRATE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Assistant Commissioner for Patents

Washington, D. C. 20231

Sir:

CITED MATERIALS

- ☒ Applicant(s) wish to disclose the information, listed in the attached form PTO-1449, copies of which are attached.
- ☐ Copies of materials listed but not attached were cited in parent application Serial No. \_\_\_\_\_.
- ☐ Copies of materials listed but not attached were cited in an International Search Report dated \_\_\_\_\_; receipt of any International Search Report and copies of references were confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed \_\_\_\_\_.
- ☐ Copies of materials listed and attached were cited in a communication from a foreign patent office in a counterpart foreign application.
- ☐ Also included are the pending U.S. applications listed below, copies of which are attached:

FEES

- ☒ No fee is required for this Information Disclosure Statement because:
- ☐ This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;
- ☒ This Information Disclosure Statement is being filed before the mailing of a first action on the merits;
- ☐ This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that closes prosecution in the application, and is accompanied by a certification below.

- ☐ A check is attached: This Information Disclosure Statement is being filed after a first action on the merits but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311 or an action that closes prosecution, and is accompanied by the fee set forth in §1.17(p).
- ☐ A check is attached: This Information Disclosure Statement is being filed after the mailing date of a notice of allowance under § 1.311 but before the payment of the issue fee and is accompanied by the fee set forth in § 1.17(p) and a certification below.

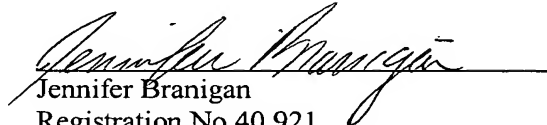
**CERTIFICATION**

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

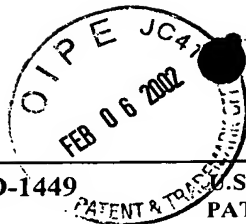
If appropriate, attached is a check in the amount of \$\_\_\_\_\_. However, the Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

  
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Date: 6 February 2002  
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| <b>Form PTO-1449</b><br><b>U.S. DEPARTMENT OF COMMERCE</b><br><b>PATENT AND TRADEMARK OFFICE</b><br><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><b>BY APPLICANT</b><br><br>(Use several sheets if necessary) | <b>ATTY. DKT. NO.</b><br>Sgt- 44            | <b>SERIAL NO.</b><br>10/036,486 |
|                                                                                                                                                                                                                 | <b>APPLICANT</b><br>Sally Pucilowski et al. |                                 |
|                                                                                                                                                                                                                 | <b>FILING DATE</b><br>7 January 2002        | <b>GROUP</b>                    |

**U.S. PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date |
|------------------|-----------------|------|------|-------|----------|-------------|
|                  | AA              |      |      |       |          |             |
|                  | AB              |      |      |       |          |             |
|                  | AC              |      |      |       |          |             |
|                  | AD              |      |      |       |          |             |
|                  | AE              |      |      |       |          |             |
|                  | AF              |      |      |       |          |             |
|                  | AG              |      |      |       |          |             |
|                  | AH              |      |      |       |          |             |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation |    |
|------------------|-----------------|------|---------|-------|----------|-------------|----|
|                  |                 |      |         |       |          | Yes         | No |
|                  | AM              |      |         |       |          |             |    |
|                  | AN              |      |         |       |          |             |    |
|                  | AO              |      |         |       |          |             |    |
|                  | AP              |      |         |       |          |             |    |
|                  | AQ              |      |         |       |          |             |    |
|                  | AR              |      |         |       |          |             |    |
|                  | AS              |      |         |       |          |             |    |
|                  | AT              |      |         |       |          |             |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|                 |    |                                                                                                      |
|-----------------|----|------------------------------------------------------------------------------------------------------|
|                 | AU | ABSTRACT ONLY:Parfenov AI, <i>Vestn. Leningr. Gos. Univ., Fiz. Khim</i> , 1963, vol.4, No. 1, P. 126 |
|                 | AV | ABSTRACT ONLY:Bacon JF., <i>NASA Contract Rep.</i> 1856, 1971                                        |
|                 | AW | ABSTRACT ONLY:Alexsandrov VI., <i>Fizika i Khimiya Stekla</i> , 1997, vol. 3, No. 2, p.              |
|                 | AX | Angel PW., <i>Journal of American Chemical Society.</i> , 75 (12) :3278-3282                         |
|                 | AY |                                                                                                      |
|                 | AZ |                                                                                                      |
| <b>Examiner</b> |    | <b>Date Considered</b>                                                                               |